

## PART B - FEE(S) TRANSMITTAL

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**Commissioner for Patents**  
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CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

26339 7590 02/19/2004

PATENT GROUP  
 CHOATE, HALL & STEWART  
 EXCHANGE PLACE, 53 STATE STREET  
 BOSTON, MA 02109



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Tracey A. Newell	(Depositor's name)
<i>Tracey A. Newell</i>	(Signature)
May 13, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/804,499	03/12/2001	Yoshitaka Yokoyama	NIM-01301	1359

TITLE OF INVENTION: WAVELENGTH STABILIZED LASER MODULE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	05/19/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, JOSEPH H	2815	372-029010

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Choate, Hall &amp; Stewart

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

NEC Corporation

Tokyo, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☒ Advance Order - # of Copies 5

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 031721 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

Donald W. Muirhead; Reg. No. 33,978 May 13, 2004

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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05/18/2004 WABRHAM2 00000011 09804499

01 FC:1501

1330.00 OP

02 FC:1504

300.00 OP

03 FC:8001

15.00 OP

TRANSMIT THIS FORM WITH FEE(S)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Yoshitaka YOKOYAMA

Appln. No.: 09/804,499

Art Unit: 2815

Filed: March 12, 2001

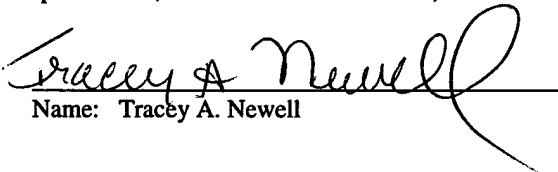
Examiner: NGUYEN, Joseph H.

For: **WAVELENGTH STABILIZED  
LASER MODULE**

Docket No.: NIM-01301

**Certificate of Mailing**

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date of May 13, 2004.

  
Name: Tracey A. Newell

Mail Stop Issue Fee  
Commissioner for Patents  
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Alexandria, VA 22313-1450

**TRANSMITTAL OF ISSUE FEE**

Sir:

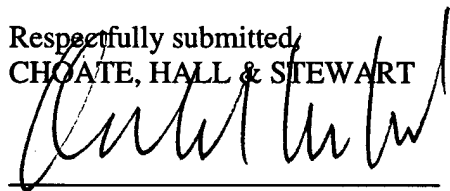
In response to the Notice of Allowance dated February 19, 2004 for the above-referenced application, enclosed is a check for \$1,645.00 to cover the issue fee, publication fee and 5 soft copies.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is hereby authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 031721**. Two originally-executed copies of this form are being submitted.

May 13, 2004  
Date

Patent Group  
Choate, Hall & Stewart  
Exchange Place, 53 State Street  
Boston, MA 02109  
617-248-4038

Respectfully submitted,  
CHOATE, HALL & STEWART

  
Donald W. Muirhead  
Reg. No. 33,978